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FOR

**Network Traffic Regulation Including Consistency Based  
Detection and Filtering Of Packets With Spoof Source  
Addresses**

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## **Network Traffic Regulation Including Consistency Based Detection and Filtering Of Packets with Spoof Source Addresses**

### **BACKGROUND OF THE INVENTION**

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#### **1. Field of the Invention**

The present invention relates to the field of networking. More specifically, the present invention relates to network management techniques associated with fending off undesirable network traffic.

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#### **2. Background Information**

With advances in integrated circuit, microprocessor, networking and communication technologies, increasing number of devices, in particular, digital computing devices, are being networked together. Devices are often first coupled to a local area network, such as an Ethernet based office/home network. In turn the local area networks are interconnected together through wide area networks, such as ATM networks, Frame Relays, and the like. Of particular notoriety is the TCP/IP based global inter-networks, Internet.

As a result this trend of increased connectivity, increasing number of applications that are network dependent are being deployed. Examples of these network dependent applications include but are not limited to, email, net-based telephony, world wide web and various types of e-commerce. For these applications, success inherently means high volume of desirable network traffic for their implementing servers. To ensure continuing success, quality of service through orderly and efficient handling of the large volume of desirable network traffic has become of paramount importance. Various subject matters, such as scalability,

distributive deployment and caching of contents as well as regulating network traffic destined for a network node, have become of great interest to the artesian.

Unfortunately, success also may mean attracting undesirable network traffic designed to disrupt or completely shut down the services offered by the

5 implementing servers. To ensure continuing success, the ability to fend off undesirable network traffic, also known as fending off denial of service (DoS) attacks, has also become of great importance. Various subject matters, including detection and filtering of packets with spoof source addresses, have too become of great interest to the artesian.

10 However, to-date, there is no known effective approach to detecting and filtering out packets with spoof source addresses. What is particularly difficult about detecting and filtering out packets with spoof source addresses is the fact that often times spoof instances are intermixed with non-spoof instances. For example, source address 128.128.128.16 may be an authentic source address, but it is also

15 one of the spoof addresses employed a denial of service attacker. As a result, while most likely an overwhelming majority of the packets with this source address are spoof instances, there could still be a significant number of packets with this source address that are non-spoof instances.

Prior art spoof address detection and filtering techniques basically fall into

20 two categories, (a) ingress filtering and (b) traceback schemes. Ingress filtering consists of checking the validity of source addresses as they enter a network. But, the approach is effective only at stopping spoofed packets near their sources. Moreover, the technique requires the valid source address range to be succinctly described to the filtering routers. Traceback schemes have recently been proposed

25 in the literature to trace floods of traffic backward across networks. Examples of these proposed techniques include an earlier technique jointly proposed by the

inventors of the present application and others to identify the source of attack packets through reconstruction of the routing paths from packets with partial routing path information, and a special message based technique currently under investigation by the Internet Engineering Task Force (IETF).

- 5       The former technique calls for the probabilistic marking of packets with partial routing path information by the victim. It is assumed from a moderate size sample of packets with partial routing path information, the source of the attack may be inferred (and accordingly packets with spoofed addresses may be recognized). For further details, see Practical Network Support for IP Traceback by Savage et al.,
- 10      Dept. of Computer Science and Engineering, University of Washington, Seattle, WA, Technical Report UW-CSE-00-02-01. The later technique calls for the support of a new type of routing path message by routers, which are to broadcast these new special routing path messages randomly. Presumably, from a collection of these randomly broadcast routing path messages, one would also be able to infer the
- 15      source of attack (thus implicitly recognizing the source addresses of the attack packets as spoof addresses). For further details, see IETF Internet-Drafts - ICMP Traceback Messages by S.M. Bellovin, March 2000.

## SUMMARY OF THE INVENTION

The present invention provides for a method and apparatus for fending off  
5 denial of service attacks and assisting in ensuring the quality of service provided by  
network nodes of a managed network. More specifically, the present invention  
provides for a method and apparatus for removing undesirable network traffic in the  
managed network, through consistency based detection and filtering out packets  
with spoof source addresses.

10 A director is provided to receive source address instances of packets routed  
through routing devices of a network. The director determines whether any of the  
reported source address instances are to be deemed as spoof source address  
instances. The director further determines where filtering actions are to be deployed  
to filter out packets having certain source addresses deemed to be spoof instances.

15 The director makes its determinations based at least in part on a selected  
one of a number of consistency measures. The consistency measures may include  
but are not limited to spatial consistency, destination consistency, migration  
consistency, and temporary consistency. The consistency measures are evaluated  
using spatial, destination source address range, migration, and timing (S/D/M/T)  
20 distribution profiles of the reported source addresses. In some embodiments, the  
determinations are based further in view of reference S/D/M/T distribution profiles.  
In one embodiment, the reference S/D/M/T distribution profile is an exemplary  
S/D/M/T distribution profile of a typical non-spoof source address, while in another  
embodiment, it is a historical S/D/M/T distribution profile of the source address. In  
25 various embodiments, all or portions of the packets with source addresses having

S/D/M/T distribution profiles that do not substantially resemble the reference S/D/M/T distribution profiles are deemed to be packets with spoof source addresses.

5    **BRIEF DESCRIPTION OF DRAWINGS**

The present invention will be described by way of exemplary embodiments, but not limitations, illustrated in the accompanying drawings in which like references denote similar elements, and in which:

10      **Figure 1** illustrates a network view of the present invention, including a number of distributively deployed sensors and a director, in accordance with one embodiment;

**Figure 2** illustrates a method view of the same invention, in accordance with one embodiment;

15      **Figure 3** illustrates a functional view of a sensor, in accordance with one embodiment;

**Figures 4-6** illustrate the operational flow of the relevant aspects of the requestor, reporter and command generation functions of **Fig. 3**, in accordance with one embodiment each;

20      **Figure 7** illustrates an architectural view of a sensor, in accordance with one embodiment;

**Figure 8** illustrates a functional view of a director, in accordance with one embodiment;

25      **Figures 9-11** illustrate the operational flow of the relevant aspects of the send/receive, analyzer and regulator functions of **Fig. 8**, in accordance with one embodiment each;

**Figure 12** illustrates an example computer system suitable for use to host a software implementation of a sensor or the director, in accordance with one embodiment;

5      **Figures 13a-13d** illustrate one embodiment each of a spatial distribution profile, a destination source address range distribution profile, a migration distribution profile, and a timing distribution profile of a source address; and

10     **Figures 14a-14d** illustrate one embodiment each of a reference spatial distribution, a reference destination source address range distribution profile, a reference migration profile, and a reference timing distribution profile of a source address.

#### DETAILED DESCRIPTION OF THE INVENTION

15       In the following description, various aspects of the present invention will be described. However, it will be apparent to those skilled in the art that the present invention may be practiced with only some or all aspects of the present invention. For purposes of explanation, specific numbers, materials and configurations are set forth in order to provide a thorough understanding of the present invention. However, 20      it will also be apparent to one skilled in the art that the present invention may be practiced without the specific details. In other instances, well known features are omitted or simplified in order not to obscure the present invention.

25       Parts of the description will be presented in terms of operations performed by a processor based device, using terms such as requesting, reporting, determining, data, and the like, consistent with the manner commonly employed by those skilled in the art to convey the substance of their work to others skilled in the art. As well

understood by those skilled in the art, the quantities take the form of electrical, magnetic, or optical signals capable of being stored, transferred, combined, and otherwise manipulated through mechanical and electrical components of the processor based device; and the term processor include microprocessors, micro-  
5 controllers, digital signal processors, and the like, that are standalone, adjunct or embedded.

Various operations will be described as multiple discrete steps in turn, in a manner that is most helpful in understanding the present invention, however, the order of description should not be construed as to imply that these operations are  
10 necessarily order dependent. In particular, these operations need not be performed in the order of presentation. The terms "routing device", and "route" are used throughout this application, in the claims as well as in the specification. The terms as used herein are intended to have a broader meaning than its normal plain meaning as understood by those ordinarily skilled in the networking art. They are intended to  
15 be genus terms that include the conventional routers and conventional routing and forwarding , as well as all other variations of network trafficking, such as, switches or switching, gateways, hubs and the like. Thus, unless particularized, the terms are to be given this broader meaning. Further, the description repeatedly uses the phrase "in one embodiment", which ordinarily does not refer to the same embodiment,  
20 although it may.

### Overview

Referring now first to **Figures 1-2**, wherein two block diagrams illustrating a network view and a method view of the present invention, in accordance with one embodiment, are shown. As illustrated in **Fig 1**, in accordance with the present invention, network **100** is provided with director **102** to assist in fending off  
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undesirable network traffic destined for a network node of network 100, such as server 110, to assist in ensuring quality of service provided by the network node.

More specifically, director 102 detects packets with spoof source addresses, and determines whether filtering actions are to be deployed to filter out such packets

- 5 from network 100. Director 102 advantageously perform the detection and determination, based at least in part on one or more consistency measures.,

In various embodiments, the consistency measures may include, but are not limited to, spatial consistency, destination consistency (more specifically, destinations' source address ranges), migration consistency, and temporal

- 10 consistency. Spatial consistency refers to the issue whether the spatial distributions of the observed source addresses (e.g. over routing domains) exhibit characteristics that are consistent with the expected characteristics of the spatial distribution of a non-spoof source address. Destination consistency refers to the issue whether the destinations' source address ranges for various destinations of packets routed at a  
15 particular location (routing packets of a particular source address of interest) exhibit characteristics that are consistent with the expected characteristics for routing packets with non-spoof source addresses. Migration consistency refers to the issue whether migration of routing paths over time (e.g. across network domains) for a source address of interest exhibits characteristics that are consistent with the routing  
20 path migration characteristics of a non-spoof source address. Temporal consistency refers to the issue whether timing distributions for the source addresses of interest exhibit characteristics that are consistent with the timing distribution characteristics of a non-spoof source address.

In various embodiments, director 102 evaluates these consistency using  
25 spatial, destination source address range, migration and timing (SDMT) distribution profiles. Director 102 constructs and compares the SDMT distribution profiles to

reference SDMT distribution profiles of the source addresses. In one embodiment, the reference SDMT distribution profiles are exemplary SDMT distribution profiles for non-spoof source addresses in general. In another embodiment, the reference SDMT distribution profiles are historical SDMT distribution profiles for specific  
5 source addresses.

In various embodiments, such as the illustrated embodiment, a number of sensors, such as sensors **104a-104b**, are distributively disposed to gather and report on source address instances of packets routed by routing devices of various domains of network **100**. For the illustrated embodiment, sensors **104a-104b** are  
10 distributively disposed to gather and report on source address instances of packets routed by routing devices **106d-106e** disposed at the boundary entry points into network **100**. Employment of distributively disposed sensors, in conjunction with one or more directors, to regulate network traffic is the subject matter of U.S. Patent Application, number 09/631,898 (Express Mail number EL431686806US), entitled  
15 "A Distributed Solution for Regulating Network Traffic", filed on August 4, 2000, having at least partial common inventorship with the present invention. The application is hereby fully incorporated by reference.

Network **100** is intended to represent a broad range of private as well as public networks or interconnected networks, such as the network of an Internet Service Provider (ISP), the enterprise network of a multi-national corporation, or the Internet. Networking nodes, such as clients **108a-108b** and server **110** are coupled to each other through routing devices **106a-106e**. As disclosed earlier, routing devices **106a-106e** are intended to represent a broad range of network trafficking equipment, including but not limited to conventional routers, switches, gateways,  
20 hubs and the like.

For the illustrated embodiment, sensors **104a-104b** are externally disposed and correspondingly coupled to monitor multiple routing devices **106d-106e**. In alternate embodiments, sensors **104a-104b** may be correspondingly coupled to monitor and report on the network traffic routed through a single routing device. In 5 yet other embodiments, sensors **104a-104b** may even be integrally disposed within routing devices **106d-106e** instead. Sensors **104a-104b**, whether externally disposed or integrally disposed, are additionally coupled to director **102**. The coupling may be made using any one of a number of communication links known in the art, such as modem links over conventional phone lines, serial communication 10 lines, parallel communication lines, Digital Subscriber Lines (DSL), Integrated Service Digital Network (ISDN) connections, Asynchronous Transfer Mode (ATM) links, Frame Relay connections, Ethernet, IP networks, packet-switched wireless networks, and the like.

While for ease of understanding, only one director **102**, and a handful each of 15 network nodes, clients **108a-108b** and server **110**, routing devices **106a-106e** and sensors **104a-104b** are included in the illustration, from the description to follow, those skilled in the art will appreciate that the present invention may be practiced with more than one director (or director device) **102** as well as more or less network 20 nodes, routing devices **106a-106e** and sensors **104a-104b**. If more than one director/director device **102** is employed, each director/director device **102** may be assigned responsibility for a subset of sensors **104a-104b**, and the directors may relate to each other in a master/slave relationship, with one of the directors serving as the "master" (and the others as "slave"), or as peers to one another or organized into an hierarchy.

25 As illustrated in more details in **Figure 2**, in accordance with the present invention, at block **202**, source address instances of packets routed through routing

devices of network **100** being monitored, such as routing devices **106d-106e**, are gathered and cached, e.g. by sensors **104a-104b**.

At block **204**, the gathered and cached source address instances of the packets routed are reported, e.g. to director **102**. In various embodiments, e.g.

- 5 where a relatively small number of distributively disposed sensors are employed in conjunction with director **102**, all sensors report to director **102**, either periodically at predetermined time intervals or in response to specific requests of director **102**. In other embodiments, e.g. where a substantial number of distributively disposed sensors are employed, director **102** periodically selects and requests a subset of the
- 10 employed sensors to report (e.g. a randomly selected subset). The reported source addresses are in turn relayed to the non-selected (i.e. non-reporting) sensors, which in turn “echoes” whether the non-selected/reporting sensors also observed packets with the reported source addresses being routed by their corresponding routing devices (similar to the reporting whether there was a “cache hit” or “cache miss”). In
- 15 one embodiment, the “echoing” includes the frequency of observation of the source addresses. Director **102** repeats this process from time to time, in accordance to a predetermined pattern or a random pattern. Different subsets of sensors may be requested to report each time. This later “two trips” approach advantageously reduces the volume of reporting data when substantial number of sensors are
- 20 employed.

In any event, at block **206**, a spatial, a destination source address range, a migration, and/or a timing profile is constructed (e.g. by director **102**) for each of the reported source addresses. At block **208**, a determination is made (e.g. by director **102**), based at least in part on the constructed (S/D/M/T) profile, on whether any of the reported source addresses should be deemed as having spoof source address instances. In various embodiments, if the determination is made based at least in

part of a source address's spatial distribution profile, the determination is made further in view of one or more reference spatial distribution profiles. In other embodiments, if the determination is made based at least in part on a destination source address range profile at a location routing packets of a source address of

5 interest, the determination is made further in view of one or more reference destination source address range distribution profiles. In yet other embodiments, if the determination is made based at least in part on a migration profile, the determination is made further in view of one or more reference migration distribution profiles. In other embodiments, if the determination is made based at least in part of

10 a source address's timing distribution profile, the determination is made further in view of one or more reference timing distribution profiles. In various embodiments, the one or more reference spatial/destination/migration/timing (S/D/M/T) distribution profiles include an empirically derived exemplary S/D/M/T distribution profile of a non-spoof source address in general. In other embodiments, the one or more

15 reference S/D/M/T distribution profiles include a historical S/D/M/T distribution profile of a known non-spoof source address.

The present invention contemplates that the determination is made for most source addresses based on an exemplary reference S/D/M/T distribution profile for a non-spoof source address in general. The determination is made using historical

20 S/D/M/T distribution profiles only for a minority number of known non-spoof source addresses, such as known non-spoof source addresses of certain "premium" clients of the network node being "protected".

Skipping briefly to **Fig. 13a-13d** and **Fig. 14a-14d**. **Fig. 13a-13b** illustrate one each of an example spatial and an example "destination" distribution profile of a

25 source address having spoof instances. Experience has shown that if spoof source addresses are employed in a denial of service attacks against a network node, it is

likely that the source addresses will be simultaneously observed in multiple domains of network 100, even domains that are geographically dispersed, as illustrated by the histogram of **Fig. 13a**. Similarly, if spoof source addresses are employed in a denial of service attacks against a network node, it is likely that the spoof source  
5 addresses will not be a subset or substantially related to the source addresses of other packets being routed to other destinations at the routing location, as illustrated by **Fig. 13b**, where the destinations have disjointed source address ranges for the various destinations of the packets being routed at the routing location. Further, if spoof source addresses are employed in a denial of service attacks against a  
10 network node, it is likely that the spoof source addresses will be migrating across different network domains in a very rapid rate, i.e. the routing paths change from one network domain to another relatively quickly, as illustrated by **Fig. 13c**, having a high number of incidence with short timing duration between routing path changes.  
Lastly, if spoof source addresses are employed in a denial of service attacks against  
15 a network node, it is likely that the source addresses will be repeatedly observed within a very short interval as illustrated by the histogram of **Fig. 13b**, having an exponentially decay type of profile (in terms of elapsed time between packets with the same source address).

These characteristics are likely to be different from that of non-spoof source  
20 addresses, where spatially, they tend to distribute normally over a domain and its “immediately” adjacent domains, as illustrated by **Fig. 14a**; and from a destination source address range perspective, they tend to be subset of, or substantially related to source addresses of other packets being routed to other destinations at the routing location, as illustrated by **Fig. 14b**. From a migration perspective, the  
25 number of incidents having short duration between routing path changes should be very low, as illustrated by **Fig. 14c**, and from a timing perspective, they too tend to

distribute normally over a mean arrival time, as illustrated by **Fig. 14d**. In addition to being representative of spatial, destination source address range, migration, and timing distribution profiles of a non-spoof source address in general, the S/D/M/T distribution profiles illustrated in **Fig. 14a-14d** may be actual spatial, destination  
5 source address range, migration and timing distribution profiles (historically compiled) of a source address. Such historical profiles may e.g. be compiled for certain premium service clients, as alluded to earlier. Compilation of these exemplary/actual profiles may be performed using any number of statistic gathering techniques known in the art.

10 Thus, a decision maker, such as director **102**, may infer whether an observed source address is to be deemed as having spoof source address instances based on whether the observed S/D/M/T distribution profile of the source address substantial resembles that of a reference S/D/M/T distribution profile or not. Substantial resemblance may be quantitative determined using any one of a number  
15 known statistical techniques, e.g. the least square fitness test. The threshold for inferring a source address as having spoof source address instances is application dependent, depending on whether for a particular network node, it is more suitable to err on the side of incorrectly inferring a non-spoof source address as having spoof source address instance, or it is more suitable to err on the side of failing to detect some of the spoof source address instances. The former preference will tend to lead to over filtering, rejecting more packets than necessary, while the later  
20 preference will tend to lead to under filtering, resulting in more undesirable packets to "hit" the network node.

Referring now back to **Fig. 2**, at block **210**, once a source address having  
25 spoof instances is detected, appropriate filtering instructions are formulated and issued to filter out the undesirable packets from network **100**. In various

embodiments, blanket filtering instructions are issued to all boundary routing devices **106d-106e** (e.g. through sensors **104a-104b**). For these embodiments, packets with non-spoof instances will also be filtered out. [In some embodiments, filtering instructions are “manually” applied to boundary routing devices by operators.]

5     Depending on the nature of the services offered by the network node to be “protected”, and the potential implication to its quality of service, this blanket filtering action may nevertheless be an appropriate response. In other embodiments, e.g. where the most likely routing domains of a non-spoof source address is known, such as in the earlier described situation where historical spatial distribution profiles are

10    available, filtering instructions are issued to all boundary devices **106d-106e**, except those leading into the known most likely routing domains.

At block **212**, the instructed routing devices filter out packets with source addresses deemed to be having spoof instance as instructed. In various embodiments, the filtering instructions are typically issued for finite duration of time, 15 such that the need for issuing filtering cessation instructions may be avoided. Issuing filtering instructions for a finite duration of time also has the effect of containing the over filtering effect of overly aggressive filtering actions, such as the earlier described blanket filtering by all boundary routing devices. In these embodiments, the routing devices filter out packets with the specified source 20 addresses for the specified time duration as instructed.

Those skilled in the art will appreciate that the present invention provides for a practical and substantially more effective approach to addressing the spoof source address problem. In particular, the present invention is scalable to allow a network administrator to take more or less risk, as the application may call for.

### Sensors

Figure 3 illustrates a functional view of a sensor, in accordance with one embodiment. The embodiment assumes the sensor is externally disposed, outside of its responsible routing device or devices. As illustrated, sensor 104a or 104b includes requestor function 302, reporter function 304 and command generation function 306 operatively coupled to each other as shown. Requestor function 302 is used to request a routing device or devices for source addresses of packets routed through the routing device or devices, along with the supporting data necessary for the consistency measures employed. In one embodiment, the supporting data include in particular, the time the packets were routed. The request/requests may be made periodically, on demand or in response to some event. The request/requests may be made using any one of a number of communication protocols known in the art. Requestor 302 is also used to request a routing device or devices to filter out packets with certain source addresses which are deemed to be undesirable packets with spoof instances. The filtering request commands are typically made as a result of filtering instructions provided by director 102. Similarly, the commands may be provided to the routing device or devices via any one of a number of communication protocols known in the art.

Reporter function 304 is used to report the gathered source address instances and the supporting data for the consistency measures. In addition to the supporting data gathered from the monitored routing device, the reported support data may also include spatial and other data associated with the reporting sensor (if not earlier made known). More specifically, reporter function 304 reports the gathered source address instances and the supporting data to director 102. The report may be made periodically, on demand, or in response to some event, such as

at the request of director 102. The report may be made in any one of a number of formats, via any one of a number of communication protocols known in the art.

Command generation function 306 generates the specific commands for the routing device or devices, responsive to the filtering instructions received from 5 director 102.

**Figures 4-6** illustrate the operation flow of the relevant aspects of request function 302, report function 304 and command generation function 306, in accordance with one embodiment each. For request function 302, as illustrated in 10 **fig. 4**, upon start up, it awaits expiration of a timer, block 402. The periodicity of expiration is application dependent. Upon expiration of the timer, at block 404, request function 302 requests its responsible routing device or devices for source addresses of packets routed by the routing device or devices. The request may be for all network nodes, for particular network nodes of interest. At blocks 406 and 15 408, request function 302 caches the source addresses provided, including their frequency of observations. Upon completion of the source address transfer, requestor function 302 returns to block 402. However, if timer has not expired, block 402, request function 302 determines if any filtering commands are to be sent to its responsible routing device or devices, block 410. If there are commands queued awaiting transmission to the routing device or devices, request function 302 20 dequeues and sends the commands to the routing device or devices accordingly, block 412. Upon sending the commands, request function 302 returns again to block 402.

For report function 304, as illustrated in **fig. 5**, in like manner, upon start up, it awaits for the expiration of a timer, block 502. Likewise, the periodicity of expiration 25 is application dependent. Upon expiration, i.e. time for reporting, report function 304 reports all or a predetermined subset (e.g. the most frequently observed subsets) of

cached source addresses to director 102, as earlier described, blocks 504-506.

Upon transmission, report function 304 returns to block 502.

For command generation function 306, as illustrated in fig. 6, upon start up, it awaits for filtering instructions from director 102, block 602. Upon receipt of filtering  
5 instructions, command generation function 306 generates the appropriate commands for the particular routing device or devices the sensor is responsible for, and queues the commands for transmission to the routing device or devices, as alluded to earlier. Upon generating and queuing the appropriate commands, function 306 returns to block 602 to await additional filtering instructions from  
10 director 102.

Figure 7 illustrates an architectural view of a sensor, in accordance with a hardware/firmware implementation. As illustrated, sensor 700 includes processor 702, non-volatile memory 704, LAN and WAN interfaces 706 and 708. Processor 702 and non-volatile memory 704 are intended to represent a broad range of these  
15 elements known in the art. In the case of processor 702, it may be any 8-bit/16-bit micro-controllers, or 16-bit/32-bit digital signal processors, or even more powerful general purpose microprocessors known in the art. Non-volatile memory 704 may be EEPROM, Flash memory or other memory of the like. Non-volatile memory 704 is employed to store the firmware implementing the earlier described request, report  
20 and command generation functions of sensor 700, and for the embodiment, facilitates these functions execution in place. LAN interface 706 may be an Ethernet, Token Ring or other LAN interfaces of like kind, and WAN interface 708 may be a modem, or an ISDN adapter and the like.

In an alternate embodiment, request, report and command generation  
25 functions 302-306 of Fig. 3, may be implemented in software via high level languages such as C, and the software implementation may be hosted by a

computing device near its responsible routing device(s), provided the hosting computing device is properly equipped with the appropriate communication interfaces to communicate with its responsible routing device(s), and director 102.

In yet other embodiments, as alluded to earlier, request, report and command generation functions 302-306 of **Fig. 3**, may be incorporated as an integral part of its responsible routing device. In these embodiments, instead of gathering the source addresses via request/reply transaction conducted over a communication protocol, request function 302 may directly gather the source addresses, such as via direct memory access (DMA) operations, accessing the appropriate internal storage units of the routing device. Similarly, in lieu of generating commands designed for a command interface, command generation functions may directly invoke the applicable routing device routines to cause the filtering operation to be effectuated instead.

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Director

Referring now to **fig. 8**, wherein a functional view of the director, in accordance with one embodiment is shown. As illustrated, director 102 includes send/receive function 802, analyzer 804, and regulator 806, operatively coupled to each other as shown. Send/receive function 802 is employed to receive source addresses of packets routed by selected routing devices of network 100 (e.g. from the distributively disposed sensors), and to send filtering instructions to the appropriate routing devices (e.g. through the distributively disposed sensors). Analyzer 804 analyzes the received source addresses to determine if the source addresses are to be deemed as having spoof instances, and alerts regulator 806 accordingly. In one embodiment, analyzer 804 determines whether source addresses are to be deemed as having spoof instances using the earlier described

S/D/M/T distribution profiles. Regulator **806** is used to determine the location or locations of filtering, i.e. the routing devices to perform the filtering operations, as described earlier.

**Figures 9-10** illustrate the operational flow of the relevant aspects of the  
5 send/receive, analyzer and regulation functions **802-806**, in accordance with one embodiment each. As illustrated in **Fig. 9**, for the send/receive function, upon start up, it determines if there are source addresses to be received (e.g. from the sensors), block **902**. If there are, send/receive function **802** receives the source addresses being reported accordingly. Recall from earlier description, send/receive  
10 function **802** may be receiving direct reporting from all reporting sources (e.g. all sensors), or may be receive direct reporting from some, and confirmation or “echoing” from others (in a two part approach to reduce the volume of data traffic).

If there are no source address data to be received, send/receive function **802** determines if there are filtering instructions to be sent (e.g. to the sensors). If there  
15 are, send/receive function **802** sends the filtering instructions accordingly. If there are not, send/receive function **802** returns to block **902** to determine if there are source addresses to be received again.

As illustrated in **fig. 10**, upon start up, analyzer **804** selects a source address for analysis. At block **1002**, analyzer **804** constructs a spatial, a destination source  
20 address range, a migration, and/or timing distribution profile for the source address being analyzed, using the reported data. Recall that a spatial distribution profile addresses the network domain distribution profiles of the reported source addresses. Destination source address range profiles address the source address ranges of other packets being routed to other destination at the reporting location.  
25 Migration profiles address the rapidity the routing paths change for the reported source addresses, and the timing distribution profiles addresses the rapidity packets

with the reported source addresses are issued. At block **1004**, analyzer **804** compares the constructed S/D/M/T distribution profiles to reference S/D/M/T distribution profiles. As described earlier, the reference S/D/M/T distribution profiles may be an exemplary reference S/D/M/T distribution profile for a non-spoof source address in general, or it may be a historical S/D/M/T distribution profile of the source address under analysis in particular. At block **1006**, analyzer **804** determines if the source address under analysis should be deemed as having spoof instances, i.e. at least some of the packets observed are to be deemed as having spoof source addresses. As described earlier, the determination may be made using any one of 5 a number statistical techniques in deciding whether the constructed S/D/M/T distribution profile bears sufficient resemblance to the reference S/D/M/T distribution profile. If the source address is not to be deemed as having spoof instances, no actions are taken. The process returns to block **1002** for another source address to be analyzed. However, if the source address is to be deemed as having spoof 10 instances, analyzer **804** notifies/alerts regulator **806** accordingly, block **1008**.

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As illustrated in **fig. 11**, upon receipt of a spoof source address alert for a source address, regulator **806** selects the boundary entry points (more specifically, the routing devices at these points) to filter out packets with the source address, 20 **1102**. As described earlier, in some embodiments, all boundary entry points may be selected, while in other embodiments, certain boundary entry points may be skipped, such as those known to be having a high likelihood of leading into the domains of network **100** where genuine instances of the source address are likely to be routed. Further, in some embodiments, regulator **806** also determines a time duration for the filtering operation to be in effect. The length of the duration may be 25 selected based on any number of heuristic factors, block **1102**. Upon making these determinations, regulator **806** provides the appropriate routing devices with the

filtering instructions accordingly (e.g. through their corresponding sensors), block 1104. [As alluded to earlier, in some embodiments, the filtering instructions are manually applied to the instructed routing devices.]

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### Example Host Computer System

Figure 12 illustrates an example computer system suitable for use as either a host to a software implementation of a sensor, or the director in accordance with one embodiment. As shown, computer system 1200 includes one or more processors 1202 (typically depending on whether it is used as host to sensor or the 10 director), and system memory 1204. Additionally, computer system 1200 includes mass storage devices 1206 (such as diskette, hard drive, CDROM and so forth), input/output devices 1208 (such as keyboard, cursor control and so forth) and communication interfaces 1210 (such as network interface cards, modems and so forth). The elements are coupled to each other via system bus 1212, which 15 represents one or more buses. In the case of multiple buses, they are bridged by one or more bus bridges (not shown). Each of these elements perform its conventional functions known in the art. In particular, system memory 1204 and mass storage 1206 are employed to store a working copy and a permanent copy of the programming instructions implementing the sensor/director teachings of the 20 present invention. The permanent copy of the programming instructions may be loaded into mass storage 1206 in the factory, or in the field, as described earlier, through a distribution medium (not shown) or through communication interface 1210 (from a distribution server (not shown)). The constitution of these elements 1202- 25 1212 are known, and accordingly will not be further described.

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### Conclusion and Epilogue

Thus, it can be seen from the above descriptions, a novel method and apparatus for fending off undesirable network traffic, including consistency based detection and filtering out of packets with spoof source addresses, has been  
5 described. The novel scheme assist in enabling the quality of service provided by a network node to be substantially ensured, including substantial nullification of denial of service attacks.

While the present invention has been described in terms of the above illustrated embodiments, those skilled in the art will recognize that the invention is not  
10 limited to the embodiments described. The present invention can be practiced with modification and alteration within the spirit and scope of the appended claims. For examples, as alluded to earlier, the present invention may be practiced with more or less sensors, more directors, and so forth. Thus, the description is thus to be regarded as illustrative instead of restrictive on the present invention.

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